



EMERGING SECURITY CHALLENGES DIVISION
ASSISTANT SECRETARY GENERAL
DIVISION DES DÉFIS DE SÉCURITÉ ÉMERGENTS
LE SECRÉTAIRE GÉNÉRAL ADJOINT

SPS Projects Office

Dr. Michael Switkes
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MS

13 November 2013
ESC(2013)0490
SPS(NUKR.SFPP 984536)

Prof. Rashid Ahiska
Gazi University
Faculty of Science
Physics Department
Teknikokullar 06500, Ankara
Turkey

Dear Prof. Ahiska,

Upon recommendation of the Independent Scientific Evaluation Group (ISEG), and on behalf of the NATO Political and Partnerships Committee, I am pleased to inform you that, in the framework of the Science for Peace and Security (SPS) Programme, NATO has awarded a grant for the NATO multi-year Science for Peace Project.NUKR.SFPP 984536 - "Thermoelectric Materials and Devices for Increasing of Energy Saving and Security" which you have proposed in collaboration with:

Prof. Lyubomyr Nykyruy, Vasyl Stefanyk Precarpathian National University, Ivano-Frankivsk, Ukraine

This grant, in the amount of EUR 120,000.00 (ONE HUNDRED TWENTY THOUSAND EUROS), is made in your name as NATO country Project Director on the understanding that your institutional affiliation is the Gazi University.

This grant is made under reference.NUKR.SFPP 984536 subject to the NATO financial regulations and the "Funding Provisions and Terms and Conditions for the Administration of Science for Peace and Security (SPS) Multi-Year Projects" specified in the attachment which is an integral part of this Grant Letter.

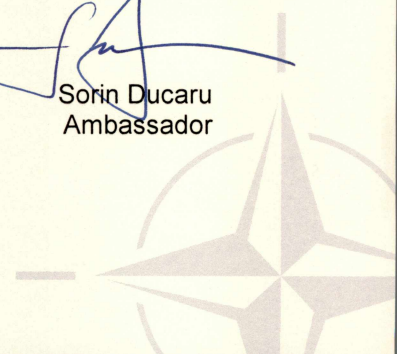
This original document should be circulated to all Project Co-Directors who are requested to initial this letter and each page of the "Funding Provisions and Terms and Conditions for the Administration of Science for Peace and Security (SPS) Multi-Year Projects" and sign the acceptance statement. The head of the institution with which each partner is affiliated or his designee is also requested to sign this acceptance statement. The grant will come into effect upon receipt by the SPS Projects Office of the original document completed by all parties. Until such time, and in order that the project may start without delay, electronic copies of this document completed by individual Project Co-Directors will be provisionally accepted. **All correspondence related to this grant should be addressed to the SPS Projects Office.**

This grant does in no way constitute or guarantee that in the future any additional grant will be awarded. Such additional grant will depend on the fulfilment of the conditions in this Grant Letter, among which the availability of budgetary credits.

Yours sincerely,


Sorin Ducaru
Ambassador

cc: All Co-Directors, as listed above.



**FUNDING PROVISIONS AND TERMS AND CONDITIONS FOR THE ADMINISTRATION OF
SCIENCE FOR PEACE AND SECURITY (SPS) MULTI-YEAR PROJECTS**

NATO.NUKR.SFPP 984536

NATO SfP- Thermoelectric Materials & Devices

1. FUNDING PROVISIONS

- 1.1. The project **NATO SfP-Thermoelectric Materials & Devices** outlined in the Project Plan "Thermoelectric Materials and Devices for Increasing of Energy Saving and Security" received 31 July 2013 and IPR Agreement signed 21 August 2013, for an overall amount estimated at **239,000.00 EUR** has been, in principle, approved by NATO. Through this grant letter a grant in the amount of **120,000.00 EUR** is awarded for the project. This amount can be used to cover payments during the present calendar year as well as the following two calendar years.
- 1.2. This amount is available to cover expenses as outlined in the approved project plan. Payments from this amount must be requested from the SPS Projects Office by the NATO country Project Director (NPD) through a standard "Payment Request Form" to which all relevant backup documents (such as original invoices, travel reimbursement forms and original air ticket stubs) must be attached.
- 1.3. The present grant letter does not constitute or guarantee the award of additional grants by NATO. These will be subject to annual budgetary approval by NATO and further depend on the availability of budgetary credits as authorised for the multi-year Projects as well as on satisfactory progress being made by the Project as determined by the SPS Projects Office.

2. TERMS AND CONDITIONS

General

Administration and management of the Project shall be in accordance with established national and institutional procedures and regulations. Administration and management should also be in accordance with the requirements of the **Project Management Handbook**, further referred to as "the Handbook", **available from the NATO web site <http://www.nato.int/science>** under "How to Report". Any conflict between the Handbook and national procedures should be brought to the attention of the SPS Projects Office for resolution.

If a future need arises, the conditions of this multi-year grant may be amended by mutual agreement. The following provisions of the Handbook are brought, in particular, to your attention.

- 2.1. Project Plans and Progress Reports. In accordance with **Section 8** of the Handbook, Project Co-Directors will be responsible for preparing and submitting to the SPS Projects Office, six-monthly summary reports, progress reports and financial reports.
- 2.2. Use of NATO Funds is limited as defined in **Section 2** of the Handbook. Also note NATO can not subscribe to any health or accident insurance on behalf of the organisation or its employees, nor accept any liability for any death, injury or illness related to Project activities (**Section 5** of the Handbook refers).
- 2.3. Procurement of Equipment, Supplies and Services shall be in accordance with **Section 4** of the Handbook. Unless otherwise authorised by the SPS Projects Office, competitive bidding within NATO or Partner countries is necessary for all procurements with a value over 12.500 EUR. All invoices should be addressed to the NATO country Project Director and clearly mention the short title of the NATO SPS multi-year project.
- 2.4. Equipment Property Ownership will be retained by NATO for the duration of the Project under the terms and conditions defined in **Section 9** of the Handbook.

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- 2.5. Proprietary Rights and Patents shall be in accordance with national regulations governing such matters (**Section 7** of the Handbook). However, upon request and for the purpose of evaluating status and performance of the Project, information shall be provided to the SPS Projects Office; such information will be appropriately marked to ensure that it is properly safeguarded.
- 2.6. Training and Travel by Project Personnel and others using NATO funds shall be in accordance with NATO travel regulations, as stated in **Section 5** of the Management Handbook. Of particular importance is the requirement that the traveller obtains written approval of travel from the NATO country Project Director. There is a requirement for a written report by any traveller.
- 2.7. Fees and Honoraria for technical advisers will be as prescribed in **Section 6** of the Handbook.
- 2.8. Changes in Key Project Personnel, such as Project Co-Directors, will be made only after consultation with the SPS Projects Office since the capabilities of these individuals were an important factor in the approval of the Project.
- 2.9. Stipendiaries. CVs of proposed stipendiaries should be submitted to, and approved by, the SPS Projects Office before a stipendiary receives payment.

Specific

The indicative distribution of NATO funds among the Partners in the project is as follows:

Gazi University, Teknikokullar, Turkey	80,000	EUR
Vasyl Stefanyk Precarpathian National University, Ivano-Frankivsk, Ukraine	159,000	EUR

The detailed budget table is attached.

APPROVAL OF PROJECT NATO ISEG.NUKR.SFPP 984536
NATO SfP- Thermoelectric Materials & Devices
Grant Letter dated 13 November 2013

NATO country Project Director and Partner country Project Director

I, Prof. Rashid Ahiska, NATO country Project Director (NPD), have read and accept the "Funding Provisions and Terms and Conditions for the Administration of Science for Peace and Security (SPS) Multi-Year Projects" for NATO ISEG.NUKR.SFPP 984536.

Signed: NATO country Project Director (NPD)

Date:

Head of the NPD's Institution

Date:

I, Prof. Lyubomyr Nykyruy, Partner country Project Director (PPD), have read and accept the "Funding Provisions and Terms and Conditions for the Administration of Science for Peace and Security (SPS) Multi-Year Projects" for NATO ISEG.NUKR.SFPP 984536.

Signed: Partner country Project Director (PPD)

Date:

Head of the PPD's Institution

Date:

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**984536 Thermoelectric Materials and Devices for Energy Saving
and Security Increase**

**Initial
proposed
budget** **NATO
proposed
Budget**

Short Title *Thermoelectric Materials and Devices*

***Nykyruy Lyubomyr/Vasyl Stefanyk Precarpathian National
University/Ukraine***

PPD

Equipment	85800	85,800	85,800
Computers	0	5,000	5,000
Training	0	4,000	4,000
Communications & Publications	0	2,600	2,600
Experts/Advisors	0	0	0
Travel	0	22,600	22,600
Consumables	0	6,000	6,000
Other	0	12,600	12,600
Stipends	0	20,400	20,400
Total		159000	159000

Ahiska Rasit/Gazi University/Turkey

Equipment	0	34,600	34,600
Computers	0	10,400	10,400
Training	0	0	0
Communications & Publications	0	0	0
Experts/Advisors	0	0	0
Travel	0	15,000	15,000
Consumables	0	0	0
Other	0	20,000	20,000
Stipends	0	0	0
Total		80000	80000

GrandTotal	239000	239000
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